

Benjamin Schlein:

Derivation of effective evolution equations  
from quantum dynamics

References:

- Proceedings of conference "Days in PDEs", 2011,  
arxiv:1111.6995
- Lecture Notes for summer school of Clay  
Mathematical Institute, 2008:  
arxiv:0807.4307
- For central limit theorem, look at recent  
paper: arxiv:1111.6999

Following paper: The Gross-Pitaevskii regime.

Scattering length defined through

$$(-\Delta + \frac{1}{2} V) f = 0, \quad f \xrightarrow{|x| \rightarrow \infty} 1$$

$$\Rightarrow f(x) \approx 1 - \frac{a_0}{|x|} \quad \text{for } |x| \text{ large}$$

$$\text{Equivalently: } 8\pi a_0 = \int dx V(x) f(x)$$

Then:

$$(-\Delta + \frac{1}{2} N^2 V(Nx)) f(Nx) = 0$$

$$f(Nx) \approx 1 - \frac{a_0}{N|x|} = 1 - \frac{(a_0/N)}{|x|}$$

$\Rightarrow$  scattering length of  $N^2 V(Nx)$  is  $\frac{a_0}{N}$ .



# The Gross-Pitaevskii regime

Study dynamics generated by

$$H_N = \sum_{j=1}^N -\Delta_{x_j} + \sum_{i < j}^N N^2 V(N(x_i - x_j))$$

where  $V \geq 0$ , short range, with scattering length  $a_0$ .

Theorem: let  $\Psi_N \in L^2(\mathbb{R}^{3N})$  be s.t.

- $\langle \Psi_N, H_N \Psi_N \rangle \leq C \cdot N$
- $\gamma_N^{(1)} \rightarrow |\varphi\rangle\langle\varphi|$  for some  $\varphi \in L^2(\mathbb{R}^3)$

Then  $\forall$  fixed  $k \in \mathbb{N}$ ,  $t \in \mathbb{R}$

$$\gamma_{N,t}^{(k)} \xrightarrow{N \rightarrow \infty} |\varphi_t\rangle\langle\varphi_t|^{\otimes k}$$

where

$$i\partial_t \varphi_t = -\Delta \varphi_t + 8\pi a_0 |\varphi_t|^2 \varphi_t$$

with  $\varphi_{t=0} = \varphi$ .

## Connection with mean-field regime:

We can write

$$H_N = \sum_{j=1}^N -\Delta_{x_j} + \frac{1}{N} \cdot \sum_{i < j}^N N^3 V(N(x_i - x_j))$$

where

$$N^3 V(N(x_i - x_j)) \longrightarrow b_0 \cdot \delta(x_i - x_j)$$

with

$$b_0 = \int dx V(x).$$

Analogy explains local nonlinearity in GP equation but it does not explain emergence of scattering length!

Reason: physics is very different, and correlations among particles are here crucial.

Consequence: general strategy of proof is still valid, but several modifications are required.

Proof of convergence: consider evolution

of 1-particle density:

$$i\partial_t \gamma_{N,t}^{(1)} = [-\Delta, \gamma_{N,t}^{(1)}] + (N-1) \text{tr}_2 \left[ N^2 V(N(x_1-x_2)), \gamma_{N,t}^{(2)} \right]$$

We have

$$\begin{aligned} (N-1) \text{tr}_2 N^2 V(N(x_1-x_2)) \cdot \gamma_{N,t}^{(2)} &\cong \\ &\cong \int dx_2 N^3 V(N(x_1-x_2)) \cdot \gamma_{N,t}^{(2)}(x_1, x_2; x_1', x_2) \end{aligned}$$

Suppose  $\gamma_{N,t}^{(2)} \rightarrow \gamma_{\infty,t}^{(2)}$  as  $N \rightarrow \infty$ . Then we may expect

$$\begin{aligned} \gamma_{N,t}^{(2)}(x_1, x_2; x_1', x_2) &\cong \\ &\cong f(N(x_1-x_2)) f(N(x_1'-x_2)) \cdot \gamma_{N,t}^{(2)}(x_1, x_2; x_1', x_2) \end{aligned}$$

Hence

$$\begin{aligned} (N-1) \text{tr}_2 N^2 V(N(x_1-x_2)) \cdot \gamma_{N,t}^{(2)} &\cong \\ &\cong \int dx_2 N^3 V(N(x_1-x_2)) f(N(x_1-x_2)) \cdot \gamma_{\infty,t}^{(2)}(x_1, x_2; x_1', x_2) \\ &\cong 8\pi a_0 \gamma_{\infty,t}^{(2)}(x_1, x_1; x_1', x_1) = 8\pi a_0 \text{tr}_2 \delta(x_1-x_2) \gamma_{\infty,t}^{(2)} \end{aligned}$$

Extending to all  $k \geq 1$ , we find that every limit point  $\{\gamma_{\infty,t}^{(k)}\}_{k \geq 1}$  solves the  $\infty$ -hierarchy:

$$i\partial_t \gamma_{\infty,t}^{(k)} = \sum_{j=1}^k [-\Delta_{x_j}, \gamma_{\infty,t}^{(k)}] + 8\pi a_0 \sum_{j=1}^k \text{tr}_{k+1} [\delta(x_j - x_{k+1}), \gamma_{\infty,t}^{(k+1)}]$$

Main challenge: show that short scale correlation structure can be described by  $f(N(x_i - x_j))$ .

To this end, we use a-priori bounds

$$\int d\vec{x} \left| \nabla_1 \nabla_2 \frac{\Psi_{N,t}}{f(N(x_1 - x_2))} \right|^2 \leq C$$

uniformly in  $N$ .

Note:  $\left. \begin{aligned} \int d\vec{x} |\nabla_1 \nabla_2 \Psi_{N,t}|^2 &\simeq N \\ \int dx |\nabla^2 f(Nx)|^2 &\simeq N \end{aligned} \right\} \text{big cancellations!}$

Proof of uniqueness: two new obstacles compared with Coulomb case.

• Due to correlations, the bound

$$\langle \Psi_{N,t}, (1-\Delta_1) \dots (1-\Delta_k) \Psi_{N,t} \rangle$$

$$= \text{tr} (1-\Delta_1) \dots (1-\Delta_k) \gamma_{N,t}^{(k)} \leq C^k$$

cannot hold uniformly in  $N$ .

Solution: prove

$$\langle \Psi_{N,t}, \nabla_1^* \dots \nabla_k^* \chi_1(\vec{x}) \dots \chi_k(\vec{x}) \nabla_k \dots \nabla_1 \Psi_{N,t} \rangle \leq C^k$$

with

$$\chi_j(\vec{x}) \equiv \begin{cases} 1 & \text{if } |x_j - x_i| \geq \ell \quad \forall i \\ 0 & \text{otherwise} \end{cases}$$

Choosing  $\frac{1}{N} \ll \ell \ll 1$  appropriately, we conclude that

$$\text{tr} (1-\Delta_1) \dots (1-\Delta_k) \gamma_{N,t}^{(k)} \leq C^k$$

• In 3-dim

$$\delta(x) \notin C(1-\Delta) \quad \left( \begin{array}{l} \text{because} \\ \|\psi\|_\infty \notin C \cdot \|\psi\|_{H^1} \end{array} \right)$$

Consequence: a-priori estimates are not enough to control the singularity of the interaction.

Solution: to bound error term

$$\int_0^t ds_1 \dots \int_0^{s_{n-1}} ds_n U^{(k)}(t-s_1) B^{(k)} \dots B^{(k+n-1)} \gamma_{\infty, s_n}^{(k+n)}$$

need to use smoothing effect of free evolution to show

$$\|\text{error}\|_{H_k^1} \leq (C t^{1/2})^n$$

and conclude uniqueness.

Method: reorganize factors using diagrammatic expansion in terms of Feynman graphs.