# Non-archimedean Dynamics in Dimension One: Lecture 2 

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Arizona Winter School
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- is path-connected.


## The Gauss Norm

$\overline{\mathcal{A}}(0,1)=\mathbb{C}_{K}\langle\langle z\rangle\rangle$ is the ring of all power series

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f(z)=\sum_{n=0}^{\infty} c_{n} z^{n} \in \mathbb{C}_{K}[[z]] \quad \text { such that } \lim _{n \rightarrow \infty} c_{n}=0
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The Gauss norm on $\overline{\mathcal{A}}(0,1)$ is $\|\cdot\|_{\zeta(0,1)}: \overline{\mathcal{A}}(0,1) \rightarrow[0, \infty)$, by

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Equivalently, for all $f \in \overline{\mathcal{A}}(0,1)$,

$$
\begin{aligned}
\|f\|_{\zeta(0,1)} & :=\sup \{|f(x)|: x \in \bar{D}(0,1)\} \\
& =\max \{|f(x)|: x \in \bar{D}(0,1)\}
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- $\|f g\|_{\zeta}=\|f\|_{\zeta} \cdot\|g\|_{\zeta}$ for all $f, g \in \overline{\mathcal{A}}(0,1)$,
- $\|f+g\|_{\zeta} \leq\|f\|_{\zeta}+\|g\|_{\zeta}$ for all $f, g \in \overline{\mathcal{A}}(0,1)$, and
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Note: We do not require that $\|f\|_{\zeta}=0$ implies $f=0$.
By the way: we get $\|f+g\|_{\zeta} \leq \max \left\{\|f\|_{\zeta},\|g\|_{\zeta}\right\}$ for free.

## Examples of Bounded Multiplicative Seminorms

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If $D=\bar{D}(a, r)$ or $D=D(a, r)$, and $f(z)=\sum c_{n}(z-a)^{n}$, then

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If $D$ is rational closed, then $\|f\|_{D}=\max \{|f(x)|: x \in D\}$.
Since $\|\cdot\|_{\bar{D}(a, r)}=\|\cdot\|_{D(a, r)}$, we can denote both by $\|\cdot\|_{\zeta(a, r)}$.

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As a topological space, $\bar{D}_{\operatorname{Ber}}(0,1)$ is equipped with the Gel'fand topology.

This is the weakest topology such that for every $f \in \overline{\mathcal{A}}(0,1)$, the map $\bar{D}_{\text {Ber }}(0,1) \rightarrow \mathbb{R}$ given by

$$
\zeta \mapsto\|f\|_{\zeta}
$$

is continuous.

## Berkovich's Classification of Points

There are four kinds of points in $\bar{D}_{\text {Ber }}(0,1)$.

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3. Type III: norms $\|\cdot\|_{\zeta(a, r)}$ corresponding to irrational disks $\bar{D}(a, r) \subset \bar{D}(0,1)$.
4. Type IV: norms $\|\cdot\|_{\zeta}$ corresponding to (equivalence classes of) decreasing chains $D_{1} \supseteq D_{2} \supseteq \cdots$ of disks with empty intersection.

Chains of disks as in Type IV must have radius bounded below.

Path-connectedness, intuitively


## $\bar{D}_{\text {Ber }}(0,1)$ as an $\mathbb{R}$-tree



## The Berkovich Projective Line $\mathbb{P}_{\text {Ber }}^{1}$

Glue two copies of $\bar{D}_{\operatorname{Ber}}(0,1)$ along $|z|=1$ via $z \mapsto 1 / z$.


## Berkovich Disks

Definition
Let $a \in \mathbb{C}_{K}$ and $r>0$.

- The closed Berkovich disk $\bar{D}_{\text {Ber }}(a, r)$ is the set of all $\zeta \in \mathbb{P}_{\text {Ber }}^{1}$ corresponding to a point/disk/chain of disks contained in $\bar{D}(a, r)$.


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- The open Berkovich disk $D_{\mathrm{Ber}}(a, r)$ is the set of all $\zeta \in \mathbb{P}_{\mathrm{Ber}}^{1}$ corresponding to a point/disk/chain of disks contained in $D(a, r)$, except $\zeta(a, r)$ itself.


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Fact:
$D_{\mathrm{Ber}}(a, r)$ is open, and $\bar{D}_{\mathrm{Ber}}(a, r)$ is closed.

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Fact:

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D_{\mathrm{Ber}}(a, r) \text { is open, and } \bar{D}_{\mathrm{Ber}}(a, r) \text { is closed. }
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## Moreover:

The open Berkovich disks and the complements of closed
Berkovich disks together form a subbasis for the Gel'fand topology.

## More on the Gel'fand Topology

## Definition

An (open) connected Berkovich affinoid is the intersection of finitely many (open) Berkovich disks and complements of (closed) Berkovich disks.

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For any $\zeta \in \mathbb{P}_{\text {Ber }}^{1}$, the complement $\mathbb{P}_{\text {Ber }}^{1} \backslash\{\zeta\}$ consists of

1. one component if $\zeta$ is type I or type IV,
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The components of $\mathbb{P}_{\text {Ber }}^{1} \backslash\{\zeta\}$ are called the directions at $\zeta$.

## Recall: The Berkovich Projective Line $\mathbb{P}_{\text {Ber }}^{1}$



## Rational Functions Acting on $\mathbb{P}_{\text {Ber }}^{1}$

Let $\phi(z) \in \mathbb{C}_{K}(z)$. Then for each point $\zeta \in \mathbb{P}_{\text {Ber }}^{1}$, there is a unique point $\phi(\zeta) \in \mathbb{P}_{\text {Ber }}^{1}$ such that

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Then $\phi: \mathbb{P}_{\text {Ber }}^{1} \rightarrow \mathbb{P}_{\text {Ber }}^{1}$ is the unique continuous extension of $\phi: \mathbb{P}^{1}\left(\mathbb{C}_{K}\right) \rightarrow \mathbb{P}^{1}\left(\mathbb{C}_{K}\right)$.

Understanding degree one maps on $\mathbb{P}_{\text {Ber }}^{1}$

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- $\phi(z)=1 / z \operatorname{maps} \zeta(a, r)$ to $\begin{cases}\zeta(0,1 / r) & \text { if } 0 \in \bar{D}(a, r), \\ \zeta\left(1 / a, r /|a|^{2}\right) & \text { if } 0 \notin \bar{D}(a, r) .\end{cases}$


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- So for any $\phi \in \operatorname{PGL}\left(2, \mathbb{C}_{K}\right)$, i.e., $\phi(z)=\frac{a z+b}{c z+d}$ with $a d-b c \neq 0$, you can figure out what $\phi(\zeta)$ is for any $\zeta \in \mathbb{P}_{\text {Ber }}^{1}$.

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\phi(\zeta(0,1))=\zeta(0,1) \quad \text { if and only if } \quad \phi \in \operatorname{PGL}(2, \mathcal{O})
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\text { i.e., } \phi(z)=\frac{a z+b}{c z+d} \text { with }|a|,|b|,|c|,|d| \leq 1 \text { and }|a d-b c|=1
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If $\operatorname{deg} \bar{\phi}=\operatorname{deg} \phi$, we say $\phi$ has good reduction. If $\operatorname{deg} \bar{\phi} \geq 1$, we say $\phi$ has nonconstant reduction.

## Reduction of $\phi \in \mathbb{C}_{K}(z)$

For more general $\phi \in \mathbb{C}_{K}(z)$, when does $\phi(\zeta(0,1))=\zeta(0,1)$ ?
Write $\phi(z)=\frac{a_{d} z^{d}+\cdots+a_{1} z+a_{0}}{b_{d} z^{d}+\cdots+b_{1} z+b_{0}}$,
with $a_{i}, b_{i} \in \mathcal{O}$ and some $\left|a_{i}\right|=1$ and/or some $\left|b_{j}\right|=1$.
Then $\bar{\phi}(z):=\frac{\bar{a}_{d} z^{d}+\cdots+\bar{a}_{1} z+\bar{a}_{0}}{\bar{b}_{d} z^{d}+\cdots+\bar{b}_{1} z+\bar{b}_{0}} \in \bar{k}(z)$.
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Fact: $\phi(\zeta(0,1))=\zeta(0,1)$ if and only if $\phi$ has nonconstant reduction.

## Understanding $\phi \in \mathbb{C}_{K}(z)$ at type II points

- For any type II point $\zeta \in \mathbb{P}_{\text {Ber }}^{1}$, there is some $\eta \in \operatorname{PGL}\left(2, \mathbb{C}_{K}\right)$ such that $\eta(\zeta)=\zeta(0,1)$.


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- Given $\phi \in \mathbb{C}_{K}(z)$ nonconstant and $\zeta \in \mathbb{P}_{\text {Ber }}^{1}$ of type II, choose $\eta \in \operatorname{PGL}\left(2, \mathbb{C}_{K}\right)$ for $\zeta$ as above.


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- Then $\phi(\zeta)=\theta^{-1}(\zeta(0,1))$.
- $\eta, \theta \in \operatorname{PGL}\left(2, \mathbb{C}_{K}\right)$ are not unique, but the cosets $\operatorname{PGL}(2, \mathcal{O}) \eta$ and $\operatorname{PGL}(2, \mathcal{O}) \theta$ are unique.


## Example

$$
\mathbb{C}_{K}=\mathbb{C}_{p}, \zeta=\zeta\left(0,|p|_{p}\right), \text { and } \phi(z)=\frac{z^{3}-z^{2}+z+p^{2}}{z}
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What is $\phi(\zeta)$ ?
$\eta(z)=z / p$ maps $\zeta$ to $\zeta(0,1)$, and

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So $\phi(\zeta)=\theta^{-1}(\zeta(0,1))=\zeta\left(1,|p|_{p}\right)$.

## Dynamics on $\mathbb{P}_{\text {Ber }}^{1}$ : Classifying Periodic Points

## Definition

If $\zeta$ and $\xi$ are type II points and $\phi(\zeta)=\xi$, then the local degree or multiplicity of $\phi$ at $\zeta$ is

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Note: Periodic type III and IV points are always indifferent.

## Berkovich Fatou and Julia Sets

## Definition

An open set $U \subseteq \mathbb{P}_{\text {Ber }}^{1}$ is dynamically stable under $\phi \in \mathbb{C}_{K}(z)$ if $\bigcup \phi^{n}(U)$ omits infinitely many points of $\mathbb{P}_{\text {Ber }}^{1}$. $n \geq 0$

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The (Berkovich) Fatou set of $\phi$ is the set $\mathcal{F}_{\text {Ber }}=\mathcal{F}_{\phi, \text { Ber }}$ given by

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The (Berkovich) Julia set of $\phi$ is the set

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## Basic Properties of Berkovich Fatou and Julia Sets

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- All attracting periodic points are Fatou.
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- Indifferent periodic type II points are Fatou if the residue field is algebraic over a finite field, but they can be Julia otherwise.

In general, if $\zeta(0,1)$ is fixed by $\phi$, and if $\bar{\phi}^{m}(z)=z$ for some $m \geq 1$, then $\zeta(0,1)$ is Fatou.
$\mathbb{P}^{1}(\mathbb{C}), \mathbb{P}^{1}\left(\mathbb{C}_{K}\right)$, and $\mathbb{P}_{\text {Ber }}^{1}$

| $\mathbb{P}^{1}(\mathbb{C})$ | $\mathbb{P}^{1}\left(\mathbb{C}_{K}\right)$ | $\mathbb{P}_{\text {Ber }}^{1}$ |
| :--- | :--- | :--- |
| Some indifferent <br> points are Fatou, <br> and some are Julia | All indifferent <br> points are Fatou | Most indifferent <br> points are Fatou. |

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| $\mathcal{F}$ may be empty | $\mathcal{F}$ is nonempty | $\mathcal{F}_{\text {Ber }}$ is nonempty |
| $\mathcal{J}$ is the closure <br> of the set of <br> repelling periodic <br> points | (see Project \#1) | $\mathcal{J}_{\text {Ber }}$ is the closure <br> of the set of <br> repelling periodic <br> (Type I \& II) points |

