Non-archimedean Dynamics in Dimension One: Lecture 2

Robert L. Benedetto Amherst College

Arizona Winter School

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- is path-connected.

The Gauss Norm

 $\overline{\mathcal{A}}(0,1) = \mathbb{C}_{\mathcal{K}}\langle\langle z \rangle
angle$ is the ring of all power series

$$f(z) = \sum_{n=0}^{\infty} c_n z^n \in \mathbb{C}_{\mathcal{K}}[[z]]$$
 such that $\lim_{n \to \infty} c_n = 0$,

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The Gauss norm on $\overline{\mathcal{A}}(0,1)$ is $\|\cdot\|_{\zeta(0,1)}:\overline{\mathcal{A}}(0,1)\to[0,\infty)$, by

$$\left\|\sum_{n=0}^{\infty}c_nz^n\right\|_{\zeta(0,1)}:=\max\{|c_n|:n\geq 0\}.$$

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Equivalently, for all $f \in \overline{\mathcal{A}}(0,1)$,

$$\|f\|_{\zeta(0,1)} := \sup\{|f(x)| : x \in \overline{D}(0,1)\}$$

= max{ $|f(x)| : x \in \overline{D}(0,1)$ }

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Definition A bounded multiplicative seminorm on $\overline{\mathcal{A}}(0,1)$ is a function $\zeta = \|\cdot\|_{\zeta} : \overline{\mathcal{A}}(0,1) \to [0,\infty)$ such that

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 and $\|1\|_{\zeta} = 1$,

•
$$\|fg\|_{\zeta} = \|f\|_{\zeta} \cdot \|g\|_{\zeta}$$
 for all $f, g \in \overline{\mathcal{A}}(0, 1)$,

 $\blacktriangleright \ \|f+g\|_{\zeta} \leq \|f\|_{\zeta} + \|g\|_{\zeta} \text{ for all } f,g \in \overline{\mathcal{A}}(0,1) \text{, and}$

• $\|f\|_{\zeta} \leq \|f\|_{\zeta(0,1)}$ for all $f \in \overline{\mathcal{A}}(0,1)$.

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Note: We do **not** require that $||f||_{\zeta} = 0$ implies f = 0.

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Note: We do **not** require that $||f||_{\zeta} = 0$ implies f = 0.

By the way: we get $\|f + g\|_{\zeta} \leq \max\{\|f\|_{\zeta}, \|g\|_{\zeta}\}$ for free.

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If $D = \overline{D}(a, r)$ or D = D(a, r), and $f(z) = \sum c_n(z - a)^n$, then $\|f\|_D = \max\{|c_n|r^n : n \ge 0\}.$

If D is rational closed, then $||f||_D = \max\{|f(x)| : x \in D\}$.

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Since $\|\cdot\|_{\overline{D}(a,r)} = \|\cdot\|_{D(a,r)}$, we can denote both by $\|\cdot\|_{\zeta(a,r)}$.

The Berkovich Disk

Definition

The **Berkovich unit disk** $\overline{D}_{Ber}(0,1)$ is the set of all bounded multiplicative seminorms on $\overline{\mathcal{A}}(0,1)$.

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As a topological space, $\overline{D}_{Ber}(0,1)$ is equipped with the Gel'fand topology.

This is the weakest topology such that for every $f \in \overline{\mathcal{A}}(0,1)$, the map $\overline{D}_{\mathsf{Ber}}(0,1) \to \mathbb{R}$ given by

 $\zeta \mapsto \|f\|_{\zeta}$

is continuous.

There are four kinds of points in $\overline{D}_{Ber}(0, 1)$.

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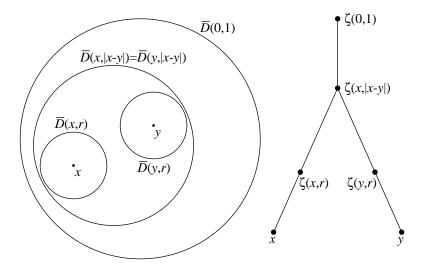
- 1. Type I: seminorms $\|\cdot\|_x$ corresponding to (classical) points $x \in \overline{D}(0,1)$.
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- 4. Type IV: norms $\|\cdot\|_{\zeta}$ corresponding to (equivalence classes of) decreasing chains $D_1 \supseteq D_2 \supseteq \cdots$ of disks with **empty** intersection.

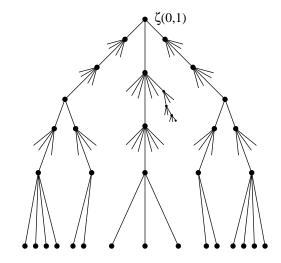
Chains of disks as in Type IV must have radius **bounded below**.

Path-connectedness, intuitively



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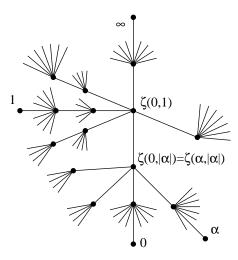
$\overline{D}_{\mathsf{Ber}}(0,1)$ as an \mathbb{R} -tree



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The Berkovich Projective Line \mathbb{P}^1_{Ber}

Glue two copies of $\overline{D}_{Ber}(0,1)$ along |z| = 1 via $z \mapsto 1/z$.



Definition

Let $a \in \mathbb{C}_K$ and r > 0.

The closed Berkovich disk D
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Fact:

 $D_{\text{Ber}}(a, r)$ is open, and $\overline{D}_{\text{Ber}}(a, r)$ is closed.

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Fact:

$$D_{\text{Ber}}(a, r)$$
 is open, and $\overline{D}_{\text{Ber}}(a, r)$ is closed.

Moreover:

The open Berkovich disks and the complements of closed Berkovich disks together form a **subbasis** for the Gel'fand topology.

More on the Gel'fand Topology

Definition

An **(open) connected Berkovich affinoid** is the intersection of finitely many (open) Berkovich disks and complements of (closed) Berkovich disks.

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For any $\zeta \in \mathbb{P}^1_{\mathsf{Ber}}$, the complement $\mathbb{P}^1_{\mathsf{Ber}} \smallsetminus \{\zeta\}$ consists of

- 1. one component if $\boldsymbol{\zeta}$ is type I or type IV,
- 2. infinitely many components if ζ is type II,
- 3. two components if ζ is type III.

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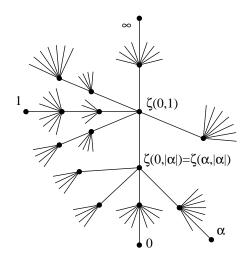
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The components of $\mathbb{P}^1_{\mathsf{Ber}} \smallsetminus \{\zeta\}$ are called the **directions** at ζ .

Recall: The Berkovich Projective Line \mathbb{P}^1_{Ber}



Rational Functions Acting on $\mathbb{P}^1_{\mathsf{Ber}}$

Let $\phi(z) \in \mathbb{C}_{\mathcal{K}}(z)$. Then for each point $\zeta \in \mathbb{P}^{1}_{Ber}$, there is a unique point $\phi(\zeta) \in \mathbb{P}^{1}_{Ber}$ such that

$$\|h\|_{\phi(\zeta)} = \|\phi \circ h\|_{\zeta}$$

for all $h \in \mathbb{C}_{\mathcal{K}}(z)$.

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Then $\phi : \mathbb{P}^1_{\mathsf{Ber}} \to \mathbb{P}^1_{\mathsf{Ber}}$ is the unique continuous extension of $\phi : \mathbb{P}^1(\mathbb{C}_K) \to \mathbb{P}^1(\mathbb{C}_K).$

• $\phi(z) = cz$ maps $\zeta(a, r)$ to $\zeta(ca, |c|r)$.

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- $\phi(z) = cz$ maps $\zeta(a, r)$ to $\zeta(ca, |c|r)$.
- $\phi(z) = z + b$ maps $\zeta(a, r)$ to $\zeta(a + b, r)$.

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► So for any $\phi \in PGL(2, \mathbb{C}_{\mathcal{K}})$, i.e., $\phi(z) = \frac{az+b}{cz+d}$ with $ad - bc \neq 0$, you can figure out what $\phi(\zeta)$ is for any $\zeta \in \mathbb{P}^{1}_{Ber}$.

• Given
$$\phi \in PGL(2, \mathbb{C}_K)$$
, then

 $\phi(\zeta(0,1)) = \zeta(0,1)$ if and only if $\phi \in PGL(2,\mathcal{O})$,

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i.e.,
$$\phi(z) = \frac{az + b}{cz + d}$$
 with $|a|, |b|, |c|, |d| \le 1$ and $|ad - bc| = 1$.

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For more general $\phi \in \mathbb{C}_{\mathcal{K}}(z)$, when does $\phi(\zeta(0,1)) = \zeta(0,1)$?

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Write
$$\phi(z) = \frac{a_d z^d + \dots + a_1 z + a_0}{b_d z^d + \dots + b_1 z + b_0}$$
,
with $a_i, b_i \in \mathcal{O}$ and some $|a_i| = 1$ and/or some $|b_j| = 1$.

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Write
$$\phi(z) = \frac{a_d z^d + \cdots + a_1 z + a_0}{b_d z^d + \cdots + b_1 z + b_0}$$
,
with $a_i, b_i \in \mathcal{O}$ and some $|a_i| = 1$ and/or some $|b_j| = 1$.

Then
$$\overline{\phi}(z) := \frac{\overline{a}_d z^d + \cdots + \overline{a}_1 z + \overline{a}_0}{\overline{b}_d z^d + \cdots + \overline{b}_1 z + \overline{b}_0} \in \overline{k}(z).$$

For more general $\phi \in \mathbb{C}_{\mathcal{K}}(z)$, when does $\phi(\zeta(0,1)) = \zeta(0,1)$?

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If deg $\overline{\phi} = \text{deg } \phi$, we say ϕ has good reduction. If deg $\overline{\phi} \ge 1$, we say ϕ has nonconstant reduction.

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Fact: $\phi(\zeta(0,1)) = \zeta(0,1)$ if and only if ϕ has nonconstant reduction.

For any type II point $\zeta \in \mathbb{P}^1_{\mathsf{Ber}}$, there is some $\eta \in \mathrm{PGL}(2, \mathbb{C}_K)$ such that $\eta(\zeta) = \zeta(0, 1)$.

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$$\theta \circ \phi \circ \eta^{-1}(z) \in \mathbb{C}_{\mathcal{K}}(z)$$

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$$\phi(\zeta) = \theta^{-1}(\zeta(0,1)).$$

η, θ ∈ PGL(2, C_K) are not unique,
 but the cosets PGL(2, O)η and PGL(2, O)θ are unique.

$$\mathbb{C}_{\mathcal{K}} = \mathbb{C}_{p}, \, \zeta = \zeta(0, |p|_{p}), \text{ and } \phi(z) = \frac{z^{3} - z^{2} + z + p^{2}}{z}.$$
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Definition

If ζ and ξ are type II points and $\phi(\zeta) = \xi$, then the **local degree** or **multiplicity** of ϕ at ζ is

$$\deg_{\zeta}\phi:=\deg\overline{\theta\circ\phi\circ\eta^{-1}},$$

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If ζ is type II and periodic of exact period *n*, we say ζ is

- indifferent (or neutral) if $\deg_{\zeta} \phi^n = 1$.
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Note: Periodic type III and IV points are always indifferent.

Berkovich Fatou and Julia Sets

Definition

An open set $U \subseteq \mathbb{P}^1_{Ber}$ is **dynamically stable** under $\phi \in \mathbb{C}_{\mathcal{K}}(z)$ if $\bigcup_{n \geq 0} \phi^n(U)$ omits infinitely many points of \mathbb{P}^1_{Ber} .

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The (Berkovich) Fatou set of ϕ is the set $\mathcal{F}_{\mathsf{Ber}} = \mathcal{F}_{\phi,\mathsf{Ber}}$ given by

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The (Berkovich) Julia set of ϕ is the set

$$\mathcal{J}_{\mathsf{Ber}} = \mathcal{J}_{\phi,\mathsf{Ber}} := \mathbb{P}^1_{\mathsf{Ber}} \smallsetminus \mathcal{F}_{\phi,\mathsf{Ber}}.$$

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• \mathcal{F}_{Ber} is open, and \mathcal{J}_{Ber} is closed.

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 $\blacktriangleright \ \mathcal{F}_{\phi^n,\mathsf{Ber}}=\mathcal{F}_{\phi,\mathsf{Ber}}, \text{ and } \mathcal{J}_{\phi^n,\mathsf{Ber}}=\mathcal{J}_{\phi,\mathsf{Ber}}$

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$$\phi(\mathcal{F}_{\mathsf{Ber}}) = \mathcal{F}_{\mathsf{Ber}} = \phi^{-1}(\mathcal{F}_{\mathsf{Ber}})$$
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$$\mathcal{F} = \mathcal{F}_{\mathsf{Ber}} \cap \mathbb{P}^1(\mathbb{C}_K)$$
, and $\mathcal{J} = \mathcal{J}_{\mathsf{Ber}} \cap \mathbb{P}^1(\mathbb{C}_K)$.

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- All attracting periodic points are Fatou.
- All repelling periodic points are Julia.

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- All attracting periodic points are Fatou.
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- Indifferent periodic type II points are Fatou if the residue field is algebraic over a finite field,

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- All attracting periodic points are Fatou.
- All repelling periodic points are Julia.
- Indifferent periodic type II points are Fatou if the residue field is algebraic over a finite field, but they can be Julia otherwise.

In general, if
$$\zeta(0,1)$$
 is fixed by ϕ ,
and if $\overline{\phi}^m(z) = z$ for some $m \ge 1$,
then $\zeta(0,1)$ is Fatou.

$\mathbb{P}^1(\mathbb{C})$	$\mathbb{P}^1(\mathbb{C}_K)$	\mathbb{P}^1_{Ber}
Some indifferent	All indifferent	Most indifferent
points are Fatou,	points are Fatou	points are Fatou.
and some are Julia		

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$\mathbb{P}^1(\mathbb{C})$	$\mathbb{P}^1(\mathbb{C}_K)$	\mathbb{P}^1_{Ber}
Some indifferent	All indifferent	Most indifferent
points are Fatou,	points are Fatou	points are Fatou.
and some are Julia		
${\mathcal J}$ is compact	${\mathcal J}$ may not	\mathcal{J}_{Ber} is compact
	be compact	

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Some indifferent	All indifferent	Most indifferent
points are Fatou,	points are Fatou	points are Fatou.
and some are Julia		
${\mathcal J}$ is compact	${\mathcal J}$ may not	\mathcal{J}_{Ber} is compact
	be compact	
${\mathcal J}$ is nonempty	${\mathcal J}$ may be empty	\mathcal{J}_{Ber} is nonempty

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Some indifferent	All indifferent	Most indifferent
points are Fatou,	points are Fatou	points are Fatou.
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${\mathcal J}$ is nonempty	${\mathcal J}$ may be empty	\mathcal{J}_{Ber} is nonempty
${\mathcal F}$ may be empty	${\mathcal F}$ is nonempty	\mathcal{F}_{Ber} is nonempty

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Some indifferent	All indifferent	Most indifferent
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${\mathcal J}$ is compact	${\mathcal J}$ may not	\mathcal{J}_{Ber} is compact
	be compact	
${\mathcal J}$ is nonempty	${\mathcal J}$ may be empty	\mathcal{J}_{Ber} is nonempty
${\mathcal F}$ may be empty	${\mathcal F}$ is nonempty	\mathcal{F}_{Ber} is nonempty
${\mathcal J}$ is the closure		\mathcal{J}_{Ber} is the closure
of the set of	???	of the set of
repelling periodic	(see Project #1)	repelling periodic
points		(Type I & II) points