

# 1. Examples

Example 1.  $p(n) = \#$  partitions of  $n$

$$p(4) = 5$$

$$4 = 3+1 = 2+2 = 2+1+1 = 1+1+1+1$$

Euler

$$\sum_{n \geq 0} p(n)q^n = \prod_{n \geq 1} \frac{1}{1-q^n} = \frac{q^{\frac{1}{24}}}{\eta(\tau)}$$

Dedekind eta  
w/  $\frac{1}{2}$  m.f.

Note:  $q = e^{2\pi i \tau}$   $\tau \in \mathbb{H}$

Less well known:

$$\sum p(n)q^n = 1 + \sum_{n \geq 1} \frac{q^{n^2}}{(1-q)^2 (1-q^3)^2 \dots (1-q^n)^2}$$

Modular Prototype of  
a "basic hypergeometric  
series"

Ramanujan (Last Letter to Hardy)

$$f(q) = 1 + \sum_{n \geq 1} \frac{q^{n^2}}{(1+q)^2 (1+q^3)^2 \dots (1+q^n)^2}$$

"3rd order mock  $\theta$ -function"

K01-3

Ramanujan's deathbed letter

## "Death bed letter"

*Dear Hardy,*

*"I am extremely sorry for not writing you a single letter up to now. I discovered very interesting functions recently which I call "Mock"  $\vartheta$ -functions. Unlike the "False"  $\vartheta$ -functions (partially studied by Rogers), they enter into mathematics as beautifully as the ordinary theta functions. I am sending you with this letter some examples."*

Ramanujan, January 12, 1920.

"...Suppose there is a function in the Eulerian form and suppose that all or an infinity of points  $q = e^{2i\pi m/n}$  are exponential singularities and also suppose that at these points the asymptotic form of the function closes as neatly as in the cases of (A) and (B). The question is: - is the function taken the sum of two functions one of which is an ordinary theta function and the other a (trivial) function which is  $O(1)$  at all the points  $e^{2i\pi m/n}$ ? The answer is it is not necessarily so. When it is not so I call the function Mock  $\vartheta$ -function. I have not proved rigorously that it is not necessarily so. But I have constructed a number of examples in which it is inconceivable to construct a  $\vartheta$ -function to cut out the singularities of the original function."

Ramanujan, 1920

## Near Miss

$$b(z) := (1-q)(1-q^3)(1-q^5)\cdots(1-2q+2q^4-2q^7+\cdots)$$

"essentially a wgt  $\frac{1}{2}$  m.f."

Crazy Accident If  $\zeta$  is an even order  $2k^{\text{th}}$  primitive root of unity, then

$$\lim_{q \rightarrow \zeta} \left( f(q) - (-1)^k b(q) \right) = 0 \quad (1)$$

Ramanujan's deathbed letter

Revisiting the last letter

## Ramanujan's question

Question (Ramanujan)

*Must Eulerian series with "similar asymptotics" be the sum of a modular form and a function which is  $O(1)$  at all roots of unity?*

Ramanujan's deathbed letter

Revisiting the last letter

## Ramanujan's Answer

The answer is it is not necessarily so.  
 When it is not so I call the function  
 Mock  $\theta$ -function. I have not proved  
 rigorously that it is not necessarily  
so. But I have constructed a number  
 of examples in which it is not in  
 accordance to construct a  $\theta$  func-  
 tion to cut out the singularities

K01-8  
Ramanujan's deathbed letter  
Revisiting the last letter

## Numerics

As  $q \rightarrow -1$ , we have

$$f(-0.994) \sim -1 \cdot 10^{31}, \quad f(-0.996) \sim -1 \cdot 10^{46}, \quad f(-0.998) \sim -6 \cdot 10^{90},$$

$$f(-0.998185) \sim -\text{Googol}$$



K01-9  
Ramanujan's deathbed letter  
Revisiting the last letter

## Numerics continued...

Amazingly, Ramanujan's guess gives:

$q$	-0.990	-0.992	-0.994	-0.996	-0.998
$f(q) + b(q)$	3.961...	3.969...	3.976...	3.984...	3.992...

This suggests that

$$\lim_{q \rightarrow -1} (f(q) + b(q)) = 4.$$

Ramanujan's deathbed letter

Revisiting the last letter

As  $q \rightarrow i$

$q$	$0.992i$	$0.994i$	$0.996i$
$f(q)$	$2 \cdot 10^6 - 4.6 \cdot 10^6 i$	$2 \cdot 10^8 - 4 \cdot 10^8 i$	$1.0 \cdot 10^{12} - 2 \cdot 10^{12} i$
$f(q) - b(q)$	$\sim 0.05 + 3.85i$	$\sim 0.04 + 3.89i$	$\sim 0.03 + 3.92i$

This suggests that

$$\lim_{q \rightarrow i} (f(q) - b(q)) = 4i.$$

## Example 2 (Zagier)

$$H(\tau) := -\frac{1}{12} + \sum_{n \in \mathcal{O}_K} H(-n) q^n$$

$H(-n)$  = "Hurwitz class #"  
for disc  $-n$

---

## Example 3

$$E_2(\tau) = 1 - 24 \sum_{n \geq 1} G_1(n) q^n$$

↓

$$\sum_{d|n} d$$

"Nearly modular form"

Example 4 $M_{24}$  Mathieu Group

$$M(z) := z^{-1/2} \left[ 1 - \sum_{n \geq 1} a(n) z^n \right]$$

↑  
"Elliptic genus"

$n$	1	2	3	4	5	...
$a(n)$	45	231	770	2277	5796	

↓  
Subexponential growth...

$n$	1	2	3	4	5	6	7	8	...
$A_n$	45	231	770	2277	5796	13915	30843	65550	...

1, 23, 45, 45, 231, 231, 252, 253, 483, 770, 770, 990, 990, 1035, 1035, 1035,  
 1265, 1771, 2024, 2277, 3312, 3520, 5313, 5544, 5796, 10395.

One sees that  $A_1, A_2, A_3, A_4$  and  $A_5$  are dimensions, while

$$A_6 = 3520 + 10395 \quad \text{and} \quad A_7 = 10395 + 5796 + 5544 + 5313 + 2024 + 1771.$$

**Conjecture (Moonshine).** *The Fourier coefficients  $A_n$  of  $H(\tau)$  are given as special sums<sup>2</sup> of dimensions of irreducible representations of the simple sporadic group  $M_{24}$ .*

Example 5. Bruijn - I constructed

$$g(z) = q^{-1} + \underbrace{1.0267q^3 + 1.2205q^4 + 1.6909q^7 + \dots}_{\text{Transcendental}} + \dots + \underbrace{6q^{139}}_{\text{Transcendental}}$$

$$- \underbrace{0.8313q^{151} + \dots - 121.1944q^{817} + \dots}_{\text{Transcendental}} + \dots + \underbrace{312q^{823}}_{\text{Transcendental}}$$

Question. What is the significance of  $(139)$   $(823)$ ?

Fact:  $E/\mathbb{Q} : y^2 = x^3 + 10x^2 - 20x + 8$

quad  
field  $\downarrow$

$$N_E = 37$$

$$\text{rk}(E(-139)) = 3.$$

Fact:  $g(z) = q^{-1} + \sum_{n \geq 1} a(n) q^n$

Thm. If  $-n$  is a f.i.d. of  $E$  and  $\text{sfc}(E(-n)) = -1$ , then

$L'(E(-n), 1) = 0 \iff a(n) \in \mathbb{Z}$ .

Question: What unifies these 5 examples?

Answer: Harmonic Weak Maass Forms..

All of these 5 examples give rise to  
harmonic weak Maass forms by some  
 method of "completion"

Easiest Example  $E_2(z) = 1 - 24 \sum_{n \geq 1} \sigma_1(n) q^n$

Fix: completion

$$\Rightarrow E_2^*(z) := -\frac{3}{\pi \operatorname{Im} z} + E_2(z)$$

Exercise

$$E_2^*\left(-\frac{1}{z}\right) = z^2 E_2^*(z)$$

$$E_2^*(z+1) = E_2^*(z)$$

nonholomorphic

wst 2

m.f.



Hurwitz Example:  $H(z) = -\frac{1}{12} + \sum_{n \geq 1} H(n) q^n$

Zagier

$G(z) := H(z) + \frac{1}{16\pi \sqrt{12z}} \sum_{n \in \mathbb{Z}} B(4\pi n^2 / 12z) q^{-n^2}$

where

$B(s) := \int_1^\infty t^{-s/2} e^{-st} dt$  ??

Fact:  $G(z)$  is a nonholomorphic m.f. of wgt  $3/2$  on  $\Gamma_0(12)$ .

?? = "Period Integral of the Jacobian  $\Theta$  fcn"

## Natural Questions.

1) Starting with Jacobian's  $\Theta$ , how would we know that info about its period integrals

$\Rightarrow$  gen fcn. for class #'s

2) More generally, if  $f \in M_g$ , what info is naturally revealed from "period ints" for  $f$ ?

The 5 examples are explicit examples of this question.

# Defn's

$$\mathbb{H} := \text{Im } z > 0$$

$$z = x + iy \quad x, y \in \mathbb{R}$$

Hyperbolic Laplacian  $k \in \mathbb{R}$

$$\Delta_k := -y^2 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) + ik y \left( \frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right)$$

Def<sup>n</sup>

If  $k \in \frac{1}{2} \mathbb{Z}$  and  $\Gamma \subseteq \text{SL}_2(\mathbb{Z})$  is a congruence subgroup, then a fun  $M: \mathbb{H} \rightarrow \mathbb{C}$  is a wjt.  $k$  harmonic Maass form on  $\Gamma$

- if
- 1)  $M(\gamma z) = (c_2 z + d_2)^k M(z) \quad \forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$
  - 2)  $\Delta_k M = 0$ .

These lectures will introduce :

- Fourier expansions and Hecke operators
- $\zeta_k$ -operator + Relationship between  $H_{2-k}$  +  $S_k$
- Periods of modular forms
- Examples : Zwegers  $M$ , Poincaré series, ...

Applications :

- Ramanujan's mock  $\Theta$ -functions
- Maass form singular moduli + class polynomials
- Borcherds Products
- Gross-Zagier + Waldspurger formulae