

Honors Algebra 4, MATH 371 Winter 2010

Assignment 4

Due Wednesday, February 17 at 08:35

1. Let R be a commutative ring with $1 \neq 0$.

- (a) Prove that the nilradical of R is equal to the intersection of the prime ideals of R . Hint: it's easy to show using the definition of prime that the nilradical is contained in every prime ideal. Conversely, suppose that f is not nilpotent and consider the set S of ideals I of R with the property that " $n > 0 \implies f^n \notin I$." Show that S has maximal elements and that any such maximal element must be a prime ideal.

Solution: Suppose that $f \in R$ is not nilpotent and let S be the set S of ideals I of R with the property that " $n > 0 \implies f^n \notin I$." Ordering S by inclusion, note that every chain is bounded above: if $I_1 \subseteq I_2 \subseteq \cdots$ is a chain, then $I = \bigcup I_i$ is an upper bound which clearly lies in S . By Zorn's lemma, S has a maximal element, say M , which we claim is prime. Indeed, suppose that $uv \in M$ but that $u \notin M$ and $v \notin M$. Then the ideals $M + (u)$ and $M + (v)$ strictly contain M so do not belong to S by maximality of M . Thus, there exist m and n such that $f^m \in M + (u)$ and $f^n \in M + (v)$. It follows that $f^{m+n} \in M + (uv) = M$ and hence that M is not in S , a contradiction. Thus, either u or v lies in M and M is prime. We deduce that f is not contained in the prime ideal M , and hence that f is not contained in the intersection of all prime ideals.

Conversely, if \mathfrak{p} is any prime ideal and f is nilpotent then $0 = f^n \in \mathfrak{p}$ for some n , and an easy induction argument using that \mathfrak{p} is prime shows that f must be in \mathfrak{p} .

- (b) Suppose that R is *reduced*, i.e. that the nilradical of R is the zero ideal. If \mathfrak{p} is a minimal prime ideal of R , show that the localization $R_{\mathfrak{p}}$ has a unique prime ideal and conclude that $R_{\mathfrak{p}}$ is a field.

Solution: By a previous exercise, the prime ideals of the localization $R_{\mathfrak{p}}$ are those prime ideals of R not meeting $R \setminus \mathfrak{p}$, or in other words, the prime ideals of R contained in \mathfrak{p} . As \mathfrak{p} is minimal, there is a unique such prime ideal: namely \mathfrak{p} itself. We claim that the image of \mathfrak{p} in $R_{\mathfrak{p}}$ is the zero ideal. Indeed, by part (a), any $f \in \mathfrak{p}$ is nilpotent in $R_{\mathfrak{p}}$ so there exists $s \in R \setminus \mathfrak{p}$ such that $sf^n = 0$ for some $n > 0$. We deduce by commutativity that $sf \in R$ is nilpotent, whence it must be zero since R is reduced, and we conclude that f is zero in $R_{\mathfrak{p}}$ as desired. Thus, $R_{\mathfrak{p}}$ is a ring whose only prime ideal is 0 and therefore must be a field. (If T is any such ring and $x \in T$ is nonzero, then (x) can not be contained in any maximal ideal, since maximal ideals are prime and hence (x) is the unit ideal so x is a unit.)

- (c) Again supposing R to be reduced, prove that R is isomorphic to a subring of a direct product of fields.

Solution: We have a canonical ring homomorphism

$$R \rightarrow \prod_{\mathfrak{p} \text{ minimal}} R_{\mathfrak{p}}$$

whose kernel is the intersection of all minimal primes. By part (a), this kernel is the nilradical of R , so since R is reduced the above map is injective. By part (b), the right

hand side is a product of fields, so we conclude that R is isomorphic to a subring of a direct product of fields.

2. Let R be a commutative ring with $1 \neq 0$ and let $\varphi : R \rightarrow R$ be a ring homomorphism. If R is noetherian and φ is surjective, show that φ must be injective too, and hence an isomorphism. (Hint: Consider the iterates of φ and their kernels.) Can you give a counter-example to this when R is not noetherian?

Solution: Let φ^n be the composition of φ with itself n -times and denote by I_n the kernel of φ^n . Then we have a chain of ideals

$$I_1 \subseteq I_2 \subseteq I_3 \subseteq \cdots$$

in the noetherian ring R , so we conclude that this chain stabilizes, hence $I_n = I_{n+1}$ for some $n \geq 1$. Suppose $x \in \ker \varphi$. Since φ^n is surjective, we can write $x = \varphi^n(y)$ whence $0 = \varphi(x) = \varphi^{n+1}(y)$ and we deduce that $y \in I_{n+1} = I_n$ and hence that $x = \varphi^n(y) = 0$. Thus, φ is injective.

As a counterexample in the case of non-noetherian R , consider the ring R of infinitely differentiable real-valued functions on the interval $[0, 1]$ and the map $\varphi : R \rightarrow R$ given by differentiation. This map is surjective, since for any f , the function $F(x) := \int_0^x f(u)du$ is well-defined and infinitely differentiable. However, φ is not injective as it kills the constant functions.

3. As usual, for a prime p we write $\mathbf{F}_p = \mathbf{Z}/p\mathbf{Z}$ for the field with p elements.

- (a) Find all monic irreducible polynomials in $\mathbf{F}_p[X]$ of degree ≤ 3 for $p = 2, 3, 5$.

Solution: For $p = 2$ the monic irreducibles are

$$x^3 + x^2 + 1, x^3 + x + 1, x^2 + x + 1, x + 1, x$$

for $p = 3$ they are

$$\begin{aligned} & x^3 + x^2 + x + 2, x^3 + x^2 + 2x + 1, x^3 + x^2 + 2, x^3 + 2x^2 + x + 1 \\ & x^3 + 2x^2 + 2x + 2, x^3 + 2x^2 + 1, x^3 + 2x + 1, x^3 + 2x + 2 \\ & x^2 + x + 2, x^2 + 2x + 2, x^2 + 1, x + 1, x + 2, x \end{aligned}$$

for $p = 5$ they are

$$\begin{aligned}
& x^3 + x^2 + x + 3, x^3 + x^2 + x + 4, x^3 + x^2 + 3x + 1, x^3 + x^2 + 3x + 4 \\
& x^3 + x^2 + 4x + 1, x^3 + x^2 + 4x + 3, x^3 + x^2 + 1, x^3 + x^2 + 2, x^3 + 2x^2 + x + 3 \\
& x^3 + 2x^2 + x + 4, x^3 + 2x^2 + 2x + 2, x^3 + 2x^2 + 2x + 3, x^3 + 2x^2 + 4x + 2 \\
& x^3 + 2x^2 + 4x + 4, x^3 + 2x^2 + 1, x^3 + 2x^2 + 3, x^3 + 3x^2 + x + 1, x^3 + 3x^2 + x + 2 \\
& x^3 + 3x^2 + 2x + 2, x^3 + 3x^2 + 2x + 3, x^3 + 3x^2 + 4x + 1, x^3 + 3x^2 + 4x + 3 \\
& x^3 + 3x^2 + 2, x^3 + 3x^2 + 4, x^3 + 4x^2 + x + 1, x^3 + 4x^2 + x + 2, x^3 + 4x^2 + 3x + 1 \\
& x^3 + 4x^2 + 3x + 4, x^3 + 4x^2 + 4x + 2, x^3 + 4x^2 + 4x + 4, x^3 + 4x^2 + 3, x^3 + 4x^2 + 4 \\
& x^3 + x + 1, x^3 + x + 4, x^3 + 2x + 1, x^3 + 2x + 4, x^3 + 3x + 2, x^3 + 3x + 3, x^3 + 4x + 2 \\
& x^3 + 4x + 3, x^2 + x + 1, x^2 + x + 2, x^2 + 2x + 3, x^2 + 2x + 4, x^2 + 3x + 3, x^2 + 3x + 4 \\
& x^2 + 4x + 1, x^2 + 4x + 2, x^2 + 2, x^2 + 3, x + 1, x + 2, x + 3, x + 4, x
\end{aligned}$$

- (b) Prove that for $f \in \mathbf{F}_p[X]$ monic and irreducible, the ideal $(f(X))$ is maximal and hence that $\mathbf{F}_p[X]/(f(X))$ is a field. Show that $\mathbf{F}_p[X]/(f(X))$ has finite cardinality $p^{\deg f}$ and use part (??) to explicitly construct finite fields of orders 8, 9, 25, 125.

Solution: We showed that $\mathbf{F}_p[X]$ is Euclidean and hence a PID and hence a UFD. In particular, irreducible implies prime (using UFD) and prime implies maximal (using PID). We conclude that for a monic irreducible f , the ring $\mathbf{F}_p[X]/(f(X))$ is a field. As an \mathbf{F}_p -vector space, $\mathbf{F}_p[X]/(f(X))$ has basis $1, X, X^2, \dots, X^{\deg f-1}$ and hence this field has cardinality $p^{\deg f}$. Choosing specific examples of monic irreducibles as found in part (a) yields specific examples of finite fields of size $2^3, 3^2, 5^2$, and 5^3 .

- (c) Prove that $\mathbf{F}_7[X]/(X^2+2)$ and $\mathbf{F}_7[X]/(X^2+X+3)$ are both finite fields of size 49. Show that these fields are isomorphic by exhibiting an explicit isomorphism between them.

Solution: Both $X^2 + X + 3$ and $X^2 + 2$ are monic irreducibles in $\mathbf{F}_7[X]$. Any ring map $\varphi : \mathbf{F}_7[X] \rightarrow \mathbf{F}_7[Y]/(Y^2 + Y + 3)$ has the form

$$X \mapsto aY + b,$$

so $X^2 + 2$ maps to

$$(aY+b)^2+2 = a^2Y^2+2abY+b^2+2 = a^2(-Y-3)+2abY+b^2+2 = -(a^2-2ab)Y+b^2+2-3a^2$$

so since $1, Y$ is an \mathbf{F}_7 -basis of the target, if $X^2 + 2$ is to map to zero we must have $a^2 = 2ab$ and $b^2 = 3a^2 + 2 = 0$. If $a = 0$ then φ would not be surjective, so we must have $a \neq 0$. Then $a = 2b$ and $b^2 = 4$ so $b = \pm 2$. Taking $b = 2$ and $a = 4$ gives the map $X \mapsto 4Y + 2$ which by our calculation induces a nonzero map of fields $\mathbf{F}_7[X]/(X^2 + 2) \rightarrow \mathbf{F}_7[Y]/(Y^2 + Y + 3)$ which must therefore be an isomorphism.

4. Let R be a ring with $1 \neq 0$ and M an R -module. Show that if $N_1 \subseteq N_2 \subseteq \dots$ is an ascending chain of submodules of M then $\cup_{i \geq 1} N_i$ is a submodule of M . Show by way of counterexample that modules over a ring need not have maximal proper submodules (in contrast to the special case of ideals in a ring with 1).

Solution: The argument is identical to that for the special case of ideals. For a counterexample, consider \mathbf{Q} as a \mathbf{Z} -module.

5. Let R be any commutative ring with $1 \neq 0$ and M an R -module. Show that the canonical map

$$\text{Hom}_R(R, M) \rightarrow M$$

sending φ to $\varphi(1)$ is an isomorphism of R -modules.

Solution: One must first check that the given map really is a map of R -modules; as this is straightforward and tedious, we omit it. For $m \in M$ let $\varphi_m : R \rightarrow M$ be the map defined by $\varphi_m(r) := rm$. It is easy to see that this is an R -module homomorphism and is inverse to the canonical map $\text{Hom}_R(R, M) \rightarrow M$.

6. Let $F = \mathbf{R}$ and let $V = \mathbf{R}^3$. Consider the linear map $\varphi : V \rightarrow V$ given by rotation through an angle of $\pi/2$ about the z -axis. Consider V as an $F[X]$ -module by defining

$$(a_n X^n + a_{n-1} X^{n-1} + \cdots + a_1 X + a_0)v := (a_n \varphi^n + a_{n-1} \varphi^{n-1} + \cdots + a_1 \varphi + a_0)v,$$

where φ^i is the composition of φ with itself i -times.

- (a) What are the $F[X]$ -submodules of V ?

Solution: The $F[X]$ -submodules are precisely the F -subspaces of the vector space \mathbf{R}^3 which are stable under multiplication by X , i.e. the subspaces preserved by φ . Thinking geometrically, these are the $x - y$ plane and the z -axis.

- (b) Show that V is naturally a module over the quotient ring $F[X]/(X^3 - X^2 + X - 1)$.

Solution: Thinking geometrically, φ has eigenvalues 1 (the z -axis is an eigenvector) and $\pm i$ (the restriction of φ to the $x - y$ plane is rotation through $\pi/2$, whose characteristic polynomial is clearly $X^2 + 1$). We conclude that the characteristic polynomial of φ is

$$(X - 1)(X^2 + 1) = (X^3 - X^2 + X - 1)$$

and hence that this element of $F[X]$ acts trivially on V by the Cayley-Hamilton theorem. Thus, V is a module over the quotient ring $F[X]/(X^3 - X^2 + X - 1)$.

7. Let R be a ring with $1 \neq 0$.

- (a) For a left ideal I of R and an R -module M , define

$$IM := \{r_1 m_1 + r_2 m_2 + \cdots + r_k m_k : r_i \in R, m_i \in M, k \in \mathbf{Z}_{\geq 0}\}.$$

Show that IM is an R -submodule of M .

Solution: Obvious.

- (b) Prove that for any ideal I of R and any positive integer n , there is a canonical isomorphism of R -modules

$$R^n/IR^n \simeq R/IR \times R/IR \times \cdots \times R/IR$$

with n -factors in the product on the right.

Solution: The map

$$R^n \simeq R/IR \times R/IR \times \cdots \times R/IR$$

defined by $(r_1, \dots, r_n) \mapsto (r_1 + I, \dots, r_n + I)$ is a well-defined and surjective R -module homomorphism. The kernel consists of exactly those (r_1, \dots, r_n) with $r_i \in I$ for all i , which is easily seen to be the ideal IR^n .

- (c) Suppose now that R is commutative and that $R^n \simeq R^m$ as R -modules. Show that $m = n$. Hint: reduce to the case of finite dimensional vector spaces over a field by applying (??) with I a maximal ideal of R .

Solution: Let I be a maximal ideal of R so $F := R/IR$ is a field. By (??), we deduce that

$$F^m \simeq R^m/IR^m \simeq R^n/IR^n \simeq F^n$$

which forces $m = n$ since all bases of a finite dimensional vector space have the same cardinality (i.e. dimension is well-defined).

- (d) If R is commutative and A is any finite set of cardinality n , show that $F(A) \simeq R^n$ as R -modules (Hint: Show that R^n satisfies the same universal mapping property as $F(A)$ and deduce from this that one has maps in both directions whose composition in either order must be the identity). Conclude that the rank of a free module over a commutative ring is well-defined if it is finite.

Solution: Let $E := \{e_i\}_{i=1}^n$ be the standard basis of R^n and suppose given a map of sets $\psi : E \rightarrow M$ for an R -module M . We extend ψ to an R -module homomorphism $R^n \rightarrow M$ by the rule

$$\sum r_i e_i \mapsto \sum r_i \psi(e_i).$$

This is well-defined because $\{e_i\}$ is a basis of R^n , so every element of R^n has a unique representation as a sum $\sum r_i e_i$. Moreover, this map is obviously a homomorphism of R -modules, and is uniquely determined by ψ (because $\{e_i\}$ spans R^n). Thus, R^n with the set E satisfies the same universal property as $F(E)$ so the two must be isomorphic as R -modules. As $F(E) \simeq F(A)$ (because A and E are in bijection as sets) we conclude as desired.

8. Let R be a ring with $1 \neq 0$ and M an R -module. We say that M is *irreducible* if $M \neq 0$ and the only submodules of M are 0 and M .

- (a) Show that M is irreducible if and only if M is a nonzero cyclic R -module.

Solution: Let $m \in M$ be any nonzero element. Then Rm is a nonzero cyclic submodule of M (since it contains m) and by irreducibility of M we must have $Rm = M$. The converse is false in general (example $2\mathbf{Z} \subseteq \mathbf{Z}$), and we must require the additional phrase “with any nonzero element as a generator” to get the desired equivalence. Suppose that M is a nonzero cyclic R -module with any nonzero element as a generator. If $N \subseteq M$ is a submodule which is nonzero, then any nonzero $n \in N$ generates M as an R -module so $N = M$ and M is irreducible.

- (b) If R is commutative, show that M is irreducible if and only if $M \simeq R/I$ as R -modules for some maximal ideal I of R .

Solution: By part (a), if M is irreducible then there is a natural surjective map of R -modules $\varphi : R \rightarrow M$ given by $r \mapsto rm$ for any (fixed) nonzero $m \in M$. The kernel of this map is a submodule of R , i.e. an ideal I of R . Since the submodules of $M \simeq R/\ker(\varphi)$ are those ideals of R containing $\ker(\varphi)$, by irreducibility of M we conclude that I must be maximal.

- (c) Prove Schur's lemma: if M_1 and M_2 are irreducible R -modules then any nonzero R -module homomorphism $\varphi : M_1 \rightarrow M_2$ is an isomorphism.

Solution: The kernel of φ is a submodule of M_1 so by irreducibility of M_1 must be zero (as φ is not the zero map). Since M_1 is nonzero (definition of irreducible) we conclude that M_1 is isomorphic to a nonzero submodule of M_2 and hence φ is an isomorphism by irreducibility of M_2 .