

1. TYPES OF MAPS

Let  $f : X \rightarrow Y$  be a map of schemes.

- (1)  $f$  is **quasi-compact** if equivalently
  - (a) There exists an open affine cover  $U_i$  of  $Y$  such that  $f^{-1}(U_i)$  is quasi-compact.
  - (b) For every open quasi-compact  $U \subseteq Y$  we have  $f^{-1}(U)$  quasi-compact.
- (2)  $f$  is **locally of finite type** if equivalently
  - (a) There exists an open affine cover  $U_i$  of  $Y$  and an open affine cover  $V_{ij}$  of  $f^{-1}(U_i)$  such that  $\mathcal{O}_X(V_{ij})$  is a finitely generated  $\mathcal{O}_Y(U_i)$ -algebra.
  - (b) For every open affine  $U \subseteq Y$  and any open affine  $V \subseteq f^{-1}(U)$ ,  $\mathcal{O}_X(V)$  is a finitely generated  $\mathcal{O}_Y(U)$ -algebra.
- (3)  $f$  is **of finite type** if it is locally of finite type and quasi-compact.
- (4)  $f$  is **affine** if equivalently
  - (a) There exists an open affine cover  $U_i$  of  $Y$  such that  $f^{-1}(U_i)$  is affine.
  - (b) For every open affine  $U \subseteq Y$ ,  $f^{-1}(U)$  is affine.
- (5)  $f$  is **finite** if
  - (a) There exists an open affine cover  $U_i$  of  $Y$  with  $f^{-1}(U_i) = V_i$  affine and  $\mathcal{O}_X(V_i)$  is a finite  $\mathcal{O}_Y(U_i)$ -module.
  - (b) For any open affine  $U \subseteq Y$ ,  $f^{-1}(U) = V$  is affine and  $\mathcal{O}_X(V)$  is a finite  $\mathcal{O}_Y(U)$ -module.

The equivalence of each part of these definitions follows easily from “Nike’s Trick.”

**Definition 1.1.** Let  $X$  be a scheme. We say  $X$  is *integral* if  $\mathcal{O}_X(U)$  is a domain for every open  $U \subseteq X$ .

**Definition 1.2.** Let  $X$  be a scheme. We say  $X$  is *irreducible* if the underlying topological space  $|X|$  of  $X$  is irreducible (*i.e.* can not be written as the union of two proper closed subsets).

**Definition 1.3.** Let  $X$  be a scheme. Then  $X$  is *reduced* if  $\mathcal{O}_X(U)$  is a reduced ring for all open  $U \subseteq X$ , or, equivalently, if  $\mathcal{O}_{X,x}$  is reduced for all points  $x \in X$ .

**Theorem 1.4.** Let  $X$  be a scheme. Then  $X$  is integral if and only if it is irreducible and reduced.

*Proof.* Clearly  $X$  integral implies that  $X$  is reduced. Suppose that  $X$  is reducible. Then we can write  $X = Z_1 \cup Z_2$  with  $Z_i$  proper and closed subsets of  $X$ . Let  $U_i = Z_i - X_i$ . Then the  $U_i$  are open and disjoint, so by the sheaf axiom we have  $\mathcal{O}_X(U_1 \cup U_2) = \mathcal{O}_X(U_1) \times \mathcal{O}_X(U_2)$ . Now as  $U_1, U_2$  are nonempty the rings  $\mathcal{O}_X(U_i)$  are nonzero (as they map to the local ring  $\mathcal{O}_{X,x} \neq 0$  for any  $x \in U_i$ ). It follows that since  $\mathcal{O}_X(U_1) \times \mathcal{O}_X(U_2)$  is not a domain, we must have had  $X$  irreducible.

Conversely, suppose that  $X$  is reduced and irreducible. Suppose that  $a_1, a_2 \in \mathcal{O}_X(U)$  satisfy  $a_1 a_2 = 0$ . Put  $Y_i = \{x \in U \mid a_i(x) = 0 \text{ in } k(x)\}$ . Evidently  $Y_1 \cup Y_2 = U$ . We claim that  $Y_i$  is closed. Indeed, the complement  $U - Y_i = \{x \in U \mid a_i(x) \neq 0 \text{ in } k(x)\}$ . But for any  $x \in U - Y_i$  the image of  $a_i$  in  $\mathcal{O}_{U,x}$  is not in the maximal ideal and is hence a unit, so there exists an open  $V_x$  containing  $x$  with  $a_i$  a unit in  $\mathcal{O}_U(V_x)$ , so that for every  $y \in V_x$  we have  $a_i(y) \neq 0 \in k(y)$ , that is  $V_x \subseteq U - Y_i$ . Hence  $U - Y_i$  is open. Now as  $U$  is irreducible (since  $X$  is) we have  $Y_1 = U$ , say. It follows that  $a_1(x) = 0$  for all  $x \in U$ . Thus for any affine  $V = \text{Spec } R \subseteq U$  we have  $a_1|_V = 0$  since  $a_1|_V$  is contained in every prime ideal of  $R$  is then nilpotent, and  $R$  is reduced. It follows that  $a_1 = 0$  and  $\mathcal{O}_X(U)$  is reduced. ■

**Definition 1.5.** A scheme  $X$  is *locally Noetherian* if there exists an open affine cover  $\{U_i\}$  of  $X$  with each  $\mathcal{O}_X(U_i)$  Noetherian.

The equivalence of this definition with the corresponding “string definition” (that is, for any open affine  $U \subseteq X$   $\mathcal{O}_X(U)$  is Noetherian) follows from “Nike’s Trick” and

**Theorem 1.6.** If  $A$  is a ring and  $(f_1, \dots, f_n)A = A$  with  $A_{f_i}$  Noetherian then  $A$  is Noetherian.

*Proof.* Let  $\mathfrak{a}_1 \subseteq \mathfrak{a}_2 \subseteq \dots$  be an ascending chain of ideals in  $A$ . Then since each  $A_{f_i}$  is Noetherian, we can omit some initial terms of this sequence so that  $\mathfrak{a}_i A_{f_j} = \mathfrak{a}_{i+1} A_{f_j}$  for all  $i, j$ . But as the  $f_j$  generate the unit ideal, for

any prime ideal  $\mathfrak{p}$  of  $A$  there exists some  $f_j \notin \mathfrak{p}$ , whence  $A_{\mathfrak{p}}$  is a localization of  $A_{f_j}$  so that  $\mathfrak{a}_i A_{\mathfrak{p}} = \mathfrak{a}_{i+1} A_{\mathfrak{p}}$  for all  $i$ . As this holds for all  $\mathfrak{p}$  we see that  $\mathfrak{a}_i = \mathfrak{a}_{i+1}$  for all  $i$  so that the chain stabilizes. Hence  $A$  is Noetherian. ■

**Definition 1.7.** A scheme  $X$  is *Noetherian* if it is locally Noetherian and quasi-compact.

*Example 1.8.* Let  $R = \prod_{i=1}^{\infty} \mathbf{F}_2$ . Then every element of  $R$  is idempotent, so that the localization of  $R$  at any maximal ideal is  $\mathbf{F}_2$  (since any local ring in which every element is idempotent is a field) and is hence Noetherian. But  $\text{Spec } R$  is decidedly not Noetherian.

**Definition 1.9.** A subspace  $Y$  of  $X$  is an *irreducible component* if it is a maximal closed and irreducible subset.

A fact (which appears on the homework) is that any irreducible closed subset of a scheme  $X$  lies in some irreducible component. (This is equivalent to the fact that any prime of a ring contains a minimal prime, which follows from Zorn's lemma). Moreover, we have a bijection

$$\{\text{Irreducible components of } X\} \leftrightarrow \{\xi \in X \mid \mathcal{O}_{X,\xi} \text{ is 0-dimensional}\}$$

given by

$$\overline{\{\xi\}} \leftarrow \xi.$$